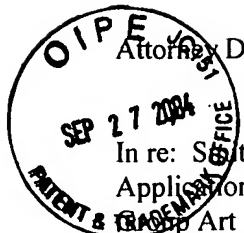


9/28/04

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Attorney Docket No. 9368.5

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Smith et al.

Application Serial No.: 10/804,331

Class Art Unit: 1648

Filed: March 19, 2004

For: *Improved Alphavirus Replicons and Helper Constructs*

Date: September 27, 2004

Mail Stop Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Sir:

Attached is a list of documents on Form PTO-1449, together with a copy of any listed foreign patent document and/or non-patent literature. A copy of any listed U.S. patent and/or U.S. patent application publication is not provided herewith in accordance with the waiver by the U.S. Patent and Trademark Office of requirements under 37 C.F.R. § 1.98(a)(2)(i) for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003.

It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.56 and Section 609 of the MPEP.

No fee is believed due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0220.

Respectfully submitted,

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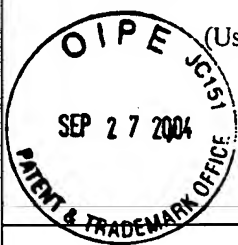
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*Cathy A. Schetzina*  
Cathy A. Schetzina

<b>FORM PTO-1449</b> U.S. Department of Commerce Patent and Trademark Office				Attorney Docket Number 9368.5		Serial No. 10/804,331	
LIST OF DOCUMENTS CITED BY APPLICANT  <div style="display: flex; align-items: center;">  <div>           (Use several sheets if necessary)         </div> </div>							
Applicants: Smith et al.							
Filing Date: March 19, 2004						Group 1648	
<b>U. S. PATENT DOCUMENTS</b>							
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
<b>FOREIGN PATENT DOCUMENTS</b>							
		Document Number	Date	Country	Class	Subclass	Translation Yes   No
	1.	WO 92/10578	6/25/92	WIPO			
	2.	WO 95/07994	3/23/95	WIPO			
	3.	WO 95/27044	10/12/95	WIPO			
	4.	WO 95/31565	11/23/95	WIPO			
	5.	WO 96/17072	06/06/96	WIPO			
	6.	WO 96/37220	11/28/96	WIPO			
	7.	WO 96/37616	11/28/96	WIPO			
	8.	WO 00/39318	07/06/00	WIPO			
	9.	WO 00/61772	10/19/00	WIPO			
	10.	WO 02/20721	03/14/02	WIPO			
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
	11.	Barouch et al. "Augmentation of Immune Responses to HIV-1 and Simian Immunodeficiency Virus DNA Vaccines by IL-2/Ig Plasmid Administration in Rhesus Monkeys" <i>PNAS</i> 97(8): 4192-4197 (2000)					
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